## Abstract Data Type



#### Leetcode 217 (easy, Blind75): Contains duplicate

How can we solve this problem?

- Given an array of n numbers, determine if any value appears at least twice.



Say the values in the array are  $a_0, a_1, \ldots, a_{n-1}$ .

Natural idea: iterate over the array and for each value check if we have seen it before.

have already seen?

Values + Operations

**Basic task:** Given  $a_i$ , is it equal to any of  $a_0, \ldots, a_{i-1}$ , the values we

# Double For Loop

#### We could accomplish this with a double for loop:



Is there a better way?

bool containsDuplicate(const std::vector<int>& arr) {

#### https://godbolt.org/z/fvo5GjE9e



# Basic task: Given $a_i$ , is it equal to any of $a_0, \ldots, a_{i-1}$ , the values we have already seen?

In the double for loop solution, we leave the data in its original form.

far to speed up this check?

lookup faster?

#### Data Structure

- To check if we have seen a value we then iterate through all the seen values.
- Is there some other we can organize the values we have already seen so

In other words, can we put the values seen in a data structure to make



#### **Contains and Insert**

Let's be more formal about the operations we want to perform on our data structure.

- We want to check if it contains a given element.
- We want to insert elements we have seen into the data structure.



- if(valuesSeen.contains(val)) {

#### **Contains and Insert**



This is a generic template to solve Contains Duplicate that we can instantiate in different ways.

The double for loop is also an instantiation of this template.

if(valuesSeen.contains(val)) {

valuesSeen.insert(val);

# Abstract Data Type

be performed on them.

operations contains and insert.

- We have now given an Abstract Data Type (ADT) that will solve the problem.
- An ADT is a collection of values and a specification of operations that can

For the Contains Duplicate problem we want an abstract data type with the





#### ADT vs. Data Structure

An ADT is like a user's manual. It specifies what a user can do, but says nothing about how the operations are implemented.

A data structure is a concrete implementation of an ADT.

To instantiate our algorithm for Contains Duplicate, problem we have to choose a data structure to implement the ADT we need.

- The efficiency of our solution depends on the data structure we choose.

- I find it is often useful to design algorithms using abstract data types.
- As you are thinking about a problem imagine what "special powers" would allow you to solve it.
- These special powers form the operations of an abstract data type.
- Then you can see if there is a data structure that can efficiently implement this abstract data type.







and how to implement them to develop your own library of ADTs.

This will help you design algorithms by knowing what "special powers" are possible.

In particular, you will learn about data structures like balanced binary search trees and hash tables that are good for the Contains Duplicate problem.

### Library of ADTs

- Throughout this course you will see the most important abstract data types







https://quick-bench.com/q/dN0VNDYP6xMIA577rkW8e-TppTA

### **Contains Duplicate**

Lower is faster



# Fixed Size Array

# Fixed-Size Array ADT

In a fixed-size array, we must specify the maximum number of items it can hold on initialization.

We can perform two operations on a fixed size array:

 $A \leftarrow \operatorname{Array}(n)$  creates an empty array that can hold n elements.

- A.get(i) for  $0 \le i < n$  returns the  $i^{th}$  item in the array.
- $A.\texttt{set}(\texttt{i},\texttt{x}) \quad \texttt{for} \ 0 \leq i < n \ \texttt{set}$  the value of the  $i^{th}$  item to be x .

In this course, we want to talk about the complexity of algorithms and data structures.

In order to do this, we have to say something about the model of computation we are using.

### Model of Computation

#### How much time does it take to get the $i^{th}$ item of an array?



# Model of Computation



#### $0 \quad 1 \quad 2 \quad \cdots$

Imagine all the memory of your computer as a long tape divided into small chunks of memory (think 8 bits) called words.

Each word has an integer address.

 $\cdots$  17 18 19





 $\mathbf{O}$  $1 \quad 2 \quad \cdots$ 

I) Random Access: we can read/write to any address in constant time.

2) We can allocate or free a block of memory in constant time.

3) We can perform arithmetic operations (plus, minus, times, divide) on addresses in constant time.



 $\cdots$  17 18 19



# Model of Computation



1 2 $\mathbf{O}$ • • •

I) Random Access: we can read/write to any address in constant time.

2) We can allocate or free a block of memory in constant time.

3) We can perform arithmetic operations (plus, minus, times, divide) on addresses in constant time.







We allocate a contiguous block of memory large enough to hold n items.

This takes constant time by rule 2.



We store the address of the first element of the array & arr |0|.

From the address of the first element we can compute the address of arr i with one addition and one multiplication.

We can get/set arr i in constant time in the RAM model.

#### Implementation of a fixed-size array 19'1 6 1510location 64

- &arr[0] + i \* sizeof(type)

A fixed-size array supports the following operations.

All of these operations take constant time.



- $A \leftarrow \operatorname{Array}(n)$  creates an empty array that can hold n elements. A.Get(i) for  $0 \le i < n$  returns the  $i^{th}$  item in the array. A.Set(i,x) for  $0 \le i < n$  set the value of the  $i^{th}$  item to be x.

# Resizable Array



- What is the drawback of a fixed-size array?
- You have to know an upper bound on the number of elements in advance.
- If we are reading in data from a file, for example, we may not know this.
- A resizable array allows us to add as many elements as we want, up to the memory limit of the computer.
- As in a fixed-size array, in a resizable array we can access/modify any element via its index in constant time.

# Resizable Array



- $A \leftarrow \text{Vector}()$ Creates an empty resizable array
- $A.push_back(x)$ Add x to the end of A.
- A.pop\_back() Remove the last element of A.

A.size()

A.get(i)

 $A.\mathtt{set}(\mathtt{i}, \mathtt{x})$ 

item to be x.



- Return the number of elements in A.
- For  $0 \leq i < A.size()$ , returns the  $i^{th}$  item in A. For  $0 \leq i < A.size()$ , set the value of the  $i^{th}$



# Implementing a Resizable Array

We implement a resizable array using fixed-size arrays.

Basic idea: Allocate a fixed-size array initially. As elements are inserted, fill from left to right.

Here A.size() is 5. The remaining slots are yet to be used.





# Implementing a Resizable Array

fill from left to right.

element.

This tells us where to push back a new element, and makes it easy to compute the size.

Basic idea: Allocate a fixed-size array initially. As elements are inserted,



- end
- We store the address of the beginning of the array and one past the last





#### Basic idea: Allocate a fixed-size array initially. As elements are inserted, fill from left to right. end



While there is excess capacity, adding an element takes constant time.

#### Implementing a Resizable Array





# The interesting question is how to do push back when there is no excess capacity.

$$5 \ 8 \ 0 \ 11 \ 22 \ 1 \ 6 \ 9 \ 10 \ 15 \ 1 \ 7$$

Say now we want to  $push_back \ 13$  but the fixed-size array is full.

What can we do in this situation?



#### 

Say now we want to insert 13 but the fixed-size array is full.





- We allocate a new and larger fixed-size array (constant time by Rule 2).



$$\begin{bmatrix} 5 & 8 & 0 & 11 & 22 \end{bmatrix}$$

Then we copy all the elements, and the new element 13, into the new array.



Finally, we free the memory of the original array (constant time by Rule 2).







# when there is no capacity?

array to the new array.

This operation takes time proportional to the number of elements in the old array.

Question: How much time does this method take to insert an element

- It takes constant time to allocate the new array and free the old array.
- The expensive part of the operation is to copy the elements from the old



Question: How should we choose the size of the new array?

There is a trade-off here between time and memory.

Transferring to a new array is a time-expensive operation. We do not want to do it too often.

This suggest choosing the new array to be large.

'wasted" extra memory we use.

- On the other hand, the larger the new array, the more potentially



A common solution to this trade-off is to set the size of the new array to be double the size of the previous one.

Memory: this way the amount of memory we use is at most twice the minimum amount needed.

Time: let's look at how much total time we use over a sequence of insertions.

# Array Doubling

As a proxy for time, let's count the number of "copy" operations as we successively push back 5, 8, 0, 11, 22.

We assume that the initially allocated fixed size array has size one.

- initial fixed-size array.

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І сору







- initial fixed-size array.

excess capacity, no copies.

no capacity, allocate array of size 2.

copy 5 over, insert 8.


# push back 8:



5 8	0	
-----	---	--

## no capacity, allocate array of size 2.

# copy over 5 and 8, insert 0.





## no capacity, allocate array of size 2.

## copy over 5 and 8, insert 0.

excess capacity, no copies.



# push back 11:

### 11 50 8

0 copies

excess capacity, no copies.



11 5 8 0

### 0 copies

### 4 copies

push back 22:

5	8	0	11	22			
---	---	---	----	----	--	--	--



### excess capacity, no copies.



no capacity, allocate array of size 8.

copy 5, 8, 0, 11 and insert 22.



We have no excess capacity when inserting element  $2^i + 1$ , for i = 0, 1, 2, 3.

For this insertion, we have to do  $2^{i}$  copy operations.

# General Pattern

- All other insertions do not require any copies and work in constant time.
- Thus to insert  $2^k + 1$  elements the total number of copy operations is

$$= 2^{k+1} - 1$$

 $2^i$ 

i=0



# Thus to push back $2^k + 1$ elements the total number of copy operations is $\sum^{n} 2^{i} = 2^{k+1} - 1$ i=0

times n.

Amortized means averaged over a sequence of operations.

# General Pattern

This shows that the time to push back n elements is at most a constant

- In the "array doubling" solution, push back takes amortized constant time.



# std::vector

### Capacity

empty

size

max\_size

reserve

capacity

shrink\_to\_fit(C++1

Modifiers

clear

insert

emplace (C++11)

erase

push\_back

emplace\_back (C++11)

pop\_back

## std::vector ADT from en.cppreference.com

	checks whether the container is empty (public member function)
	returns the number of elements (public member function)
	returns the maximum possible number of elements (public member function)
	reserves storage (public member function)
	returns the number of elements that can be held in currently allocated stora (public member function)
11)	reduces memory usage by freeing unused memory (public member function)
	clears the contents (public member function)
	inserts elements (public member function)
	constructs element in-place (public member function)
	erases elements (public member function)
	adds an element to the end (public member function)
1)	constructs an element in-place at the end (public member function)
	removes the last element (public member function)



## description of push back from en.cppreference.com

### std::vector<T,Allocator>::**push back**

void push\_back( const constexpr void push b

void push back( T&& v

constexpr void push b

Appends the given element value to the end of the container.

- 2) value is moved into the new element.

If the new size() is greater than capacity() then all iterators and references (including the past-the-end iterator) are invalidated. Otherwise only the past-the-end iterator is invalidated.

### Parameters

**value** - the value of the element to append

### Type requirements

### Return value

(none)

### Complexity

Amortized constant.

t T& value );	(1)	(until C++20)
<pre>back( const T&amp; value );</pre>	(1)	(since C++20)
		(since C++11)
value );	(2)	(until C++20)
back( T&& value );		(since C++20)

1) The new element is initialized as a copy of value.

T must meet the requirements of *CopyInsertable* in order to use overload (1). T must meet the requirements of *MoveInsertable* in order to use overload (2).



It is possible to implement a dynamic array with every insertion taking worst-case constant time.

See the paper "Resizable Arrays in Optimal Time and Space" by Brodnik, Carlsson, Demaine, Munro, and Sedgewick.

- https://cs.uwaterloo.ca/~imunro/cs840/ResizableArrays.pdf

A linked list is another sequence container.

It is fast to insert/remove elements from both the front and the back of the list.

to the  $i^{th}$  element we have to iterate from the beginning (or end).

What we give up in a linked list is fast access to the  $i^{th}$  element. To get

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list...

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A linked list is another sequence container.

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to the  $i^{th}$  element we have to iterate from the beginning (or end).

list... given some extra information.

- What we give up in a linked list is fast access to the  $i^{th}$  element. To get
- Subtle one: It is fast to insert/remove elements to the middle of the linked



# In the implementation of a resizable array, values were stored contiguously in memory.



# In a linked list, values can be spread out in memory.









A linked list is comprised of nodes. Each data value is stored in a separate node. A node also stores the location in memory of the next node. Thus a node has at least two fields:













The first field holds the value, the second field holds the address of the next node (holding value 8).

## array version

# End of the List





The second word of the last node indicates that it is the last node by holding an invalid address (denoted  $\emptyset$ ), a null pointer.

## array version



We also need to know where the list starts, the address of the first node.

We have a variable head with the address of the first node.

head = 5



Usually we draw a linked list like this, drawing an arrow to the next node rather than writing the address.

This makes the sequence of values clear.

linked list

# Implementation

struct Node {

int val = 0;

};

The godbolt link has all the code for a singly linked list we discuss.

- Let's be more concrete about the implementation of a linked list in C++.

  - Node\* next = nullptr;

https://godbolt.org/z/Pbb5hYnc3



# Create some nodes

- Node first {1};
- Node second {2};
- Node third {3};
- Node fourth {4};

# $1 \mid \emptyset$ $2 \mid \emptyset$

We are using aggregate initialization here, see https://www.learncpp.com/cpp-tutorial/struct-aggregate-initialization/



()

$$3 \mid \emptyset$$
 4

# Aggregate Initialization

- Node first {1};
- Node second {2};
- Node third {3};
- Node fourth {4};

In aggregate initialization, variables are initialized according to the order in which they are declared in the struct.

If we were to declare a new variable in Node before val, this would mess up our code!

struct Node { int val = 0; Node\* next = nullptr; };





# Aggregate Initialization

- Node first {1};
- Node second {2};
- Node third {3};
- Node fourth {4};

# If later I changed my code to have a pointer to a Node declared first, it would no longer compile.

error: invalid conversion from 'int' to 'Node\*'

Now the compiler is trying to convert I into a Node<sup>\*</sup> and can't do it.

struct Node { Node\* prev = nullptr; int val = 0;Node\* next = nullptr; };





Node first {.val {1}}; Node second {.val {2}}; Node third {.val {3}}; Node fourth {.val {4}};

# variable the value in the initialization list applies to.

We still have to respect the declaration order, but now variables in between can be dropped.

# C + + 20

# struct Node { int val = 0; Node\* next = nullptr; };

https://godbolt.org/z/Pf3sYan5a

C++20 added a nice feature to avoid this problem. We can specify which





# Linking Nodes struct Node { int val = 0; Node\* next = nullptr; };

first.next = &second;

- second.next = &third;
- third.next = &fourth;



We set first.next to be equal to the address of the second node, and similarly for the second and third nodes.

We don't need to update fourth.next as it is already nullptr.







## There is a standard idiom for iterating through a list:

std::cout << current->val << ' ';</pre>



current  $\rightarrow$  next is syntactic sugar for (\*current).next.

# Iterating through a list









## Create a new node whose next pointer points to the first node.

### Node zero {0, head};







## Now update the head pointer to point to the zero node.

This whole process takes constant time.



### head = &zero;





## Now update the head pointer to point to the zero node.

## This whole process takes constant time.



### head = &zero;





## Now update the head pointer to point to the zero node.

This whole process takes constant time.



### head = &zero;





Say we want to add a node with 5 to the end of this list. How much time would this take? In order to find this address we have to walk through the whole list, making this a slow operation.

- The main problem is that we do not know the address of the last node.



# $1 \longrightarrow 2 \longrightarrow 3 \longrightarrow$

- Node fifth {5};
- tail->next = &fifth;
- tail = &fifth;

- We can easily fix this by adding a pointer tail to the last node of the list.



## $\rightarrow$ 2 $\rightarrow$ 3 $\rightarrow$ 1

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## $\rightarrow$ 2 $\rightarrow$ 3 $\rightarrow$ 4 1

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## $\longrightarrow 2 \longrightarrow 3 \longrightarrow 4$ 1

- Node fifth {5};
- tail->next = &fifth;
- tail = &fifth;

- We can easily fix this by adding a pointer tail to the last node of the list.


Say we want to insert a node with third nodes.

This is easy to do given the address of the second node.

## insert in the middle



### Say we want to insert a node with value - I in between the second and





## insert in the middle





- Node\* ptrTo2 = &second;
- ptrTo2->next = &twoAndAHalf;

Given ptrTo2, this is constant time.

Node twoAndAHalf {-1, ptrTo2->next};



# What if we want to insert a node between 2 and 3 and we are given a pointer to the third node?

Now we are stuck! We have no way to find the address of the node before 3, other than iterating through the list.



## We can solve this by using a doubly linked list.

Each node has a pointer to the next node and the previous node.



The struct for a node now looks like this: struct Node { int val = 0;

};

- Node\* next = nullptr;
- Node\* prev = nullptr;

https://godbolt.org/z/h5T353cxs





### Now we can iterate backwards through the list:

for(Node\* current = tail; current != nullptr; current = current->prev) { std::cout << current->val << ' ';</pre>



### Given a pointer to the node with value 3, we can insert a node with value - I before it.

### Node twoAndAHalf {-1, ptrTo3, ptrTo3->prev};



### Given a pointer to the node with value 3, we can insert a node with value - I before it.

### Node twoAndAHalf {-1, ptrTo3, ptrTo3->prev};



### The next variable of the node before 3 should now point to twoAndAHalf.

(ptrTo3->prev)->next = &twoAndAHalf; ptrTo3->prev = &twoAndAHalf;



### The prev variable of node 3 should point to twoAndAHalf.

(ptrTo3->prev)->next = &twoAndAHalf;

ptrTo3->prev = &twoAndAHalf;

# Singly Linked List ADT

 $A \leftarrow \text{SinglyLinkedList}()$ 

 $A.push_front(x)$ 

A.pop\_front()

 $A.insert_after(loc, x)$ 

 $A.erase_after(loc)$ 

Creates an empty list.

Add x to front of the list.

Remove the first element.

Insert x into the list after the node with address loc.

Remove the element stored in the node after the node with address loc.



# Singly Linked List ADT

 $A \gets \texttt{SinglyLinkedList}()$ 

 $A.\texttt{push}_\texttt{front}(x)$ 

 $A.pop_front(x)$ 

 $A.\texttt{insert}\_\texttt{after}(\texttt{loc},\texttt{x})$ 

 $A.erase_after(loc)$ 

# All of these operations can be implemented in constant time.

## std::forward list

- There is an implementation of a singly linked list in the standard library.
- We have modelled our Singly Linked ADT after this implementation.
- This is a bare bones singly linked list:
  - There is no  $A.push_back(x)$  function.
    - This saves having a tail variable.
  - There is no A.size() function.

# **Doubly Linked List ADT**

 $A \leftarrow \text{DoublyLinkedList}()$ 

A.front(), A.back()

 $A.push_front(x), A.push_back(x)$ 

A.pop\_front(), A.pop\_back()

A.insert(loc, x)

Creates an empty list.

Return the first/last element.

Add x to the front/back of the list.

Remove the first/last item of the list.

Insert x into the list before the node with address loc.









the array.

front.

In some applications, we want to access and remove the front item.

A deque allows us to add/remove items at both the front and back.



- In a resizable array, we can only add and remove items at the end of the array.
- Sometimes we might want to also add and remove items at the beginning of

When we always add items to the end, the oldest item in the array is at the





# Deque ADT

- A deque is an extension of a resizable array.
- It has all the operations of a resizable array plus:
  - $A.\mathtt{push\_front}(\mathtt{x})$  Add x to the front of A.
  - $A.pop_front()$  Remove the first element of A.

## Implementation of a Deque



arrLeft is drawn backwards---it grows to the left.

In normal operation, push\_back/pop\_back are done on arrRight, and push\_front/pop\_front are done on arrLeft.

We can implement a deque with two resizable arrays put "front to front".





### 3 2 I 0



### $A.push_front(3)$



### $A.push_front(3)$



### $A.push_front(3)$ $A.push_back(1)$



### $A.push_front(3)$ $A.push_back(1)$



## $A.push_front(3)$ $A.push_back(1)$ $A.push_back(7)$



## $A.push_front(3)$ $A.push_back(1)$ $A.push_back(7)$



## $A.push_front(3)$ $A.push_back(1)$ $A.push_back(7)$ $A.push_front(8)$



## $A.push_front(3)$ $A.push_back(1)$ $A.push_back(7)$ $A.push_front(8)$





This is constant time as getting the size of a resizable array is constant time.

A.size() = arrLeft.size() + arrRight.size()





### if i < arrLeft.size() then A.get(i) = arrLeft.get(arrLeft.size() - i - 1)

### else

### A.get(i) = arrRight.get(i - arrLeft.size())



### A.pop\_front()



### arrRight 8 7

0 I 2 3



### A.pop\_front()



### arrRight 8 7 1

0 I 2 3



### 3 2 I 0

### A.pop\_front()

### The left array is empty. What do we do now?

We cannot remove the first item from the right array because that is not an allowed operation on a resizable array.







### 3 2 I 0

# array and $\lceil n/2 \rceil$ are in the right array.







0 1 2 3

We can rebalance the n elements so that  $\lfloor n/2 \rfloor$  are in the left

### arrRight 8





Like push\_back when there is no excess capacity, rebalancing is an expensive operation.

The time is proportional to the number of elements in the container at the time of rebalancing.

We can still argue that the amortized complexity of pop\_front is constant.



After reblancing, arrLeft has  $\lfloor n/2 \rfloor$  elements and arrRight has  $\lceil n/2 \rceil$  elements.

How many push/pop operations T must we do until the next rebalance?

Say that arrLeft is the one that becomes empty. At the next rebalancing the size of the deque will be S = arrRight.size().





We do T push/pop operations until the next rebalancing.

The total time of operations plus rebalancing is at most a constant times

The size of the deque at the time of rebalancing is S = arrRight.size().

S+T





We do T push/pop operations until the next rebalancing.

The size of the deque at the time of rebalancing is S = arrRight.size().

We claim that T > S - 1.



## Rebalance: Amortized



We must also do at least |S - |n/2|| push/pop back operations to change the size of  $\operatorname{arrRight}$  to S.

Thus  $T \ge \lfloor n/2 \rfloor + S - \lfloor n/2 \rfloor \ge$ 

### To empty the left array we must do at least |n/2| pop\_front operations.

$$S - 1$$
.













To recap: Say we do I' push/pop front/back operations before the next rebalancing and let S be the size of the deque when we rebalance.

We have argued that T > S - 1.

rebalance is at most a constant times S.

The total time for the T operations is at most a constant times T'.

- Each push/pop front/back (without rebalancing) is constant amortized time, so the total time for all these is at most a constant times T . The time to





We have seen how to implement a deque in a black-box way with two resizable arrays.

The time for get/set and size is constant.

The time for push/pop front and push/pop back is amortized constant.

array.

For pop front/back this is due to the need to periodically rebalance.

- For push front/back this is inherited from our implementation of a resizable



compatible with the C++ standard.

doing, for example, a push front operation.

When using a resizable array, references would be invalidated when we have to double the size of the array.

fixed sized arrays.



The way we have described implementing a deque with resizable arrays is not

- The C++ standard states that no references in a deque are invalidated when

A typical C++ implementation of a deque uses a linked list of pointers to

